



[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH  
Serial No. : 09/447,319  
Filed : November 22, 1999

For : **SYSTEM AND METHOD FOR  
TRADING AN INSTRUMENT**

Examiner : Hani M. Kazimi

Group Art Unit : 3624

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Date: 10/30/2003

Signature: [Signature]

**AARON C. DEDITCH**

(33,865)

AMENDMENT AFTER A FINAL OFFICE ACTION

SIR:

In response to the Final Office Action mailed on July 31, 2003 (the three-month response date for which is October 31, 2003), please reconsider the above-identified application based on the following:

**Amendments to the Claims** are reflected in the listing of the claims which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.

**RECEIVED**

JAN 05 2004

**GROUP 3600**